6712-01

## FEDERAL COMMUNICATIONS COMMISSION

Information Collections Being Submitted for Review and Approval to the Office of Management

and Budget

**AGENCY:** Federal Communications Commission.

**ACTION:** Notice and request for comments.

SUMMARY: As part of its continuing effort to reduce paperwork burdens, and as required by the Paperwork Reduction Act (PRA) of 1995 (44 U.S.C. 3501-3520), the Federal Communication Commission (FCC or Commission) invites the general public and other Federal agencies to take this opportunity to comment on the following information collections. Comments are requested concerning: whether the proposed collection of information is necessary for the proper performance of the functions of the Commission, including whether the information shall have practical utility; the accuracy of the Commission's burden estimate; ways to enhance the quality, utility, and clarity of the information collected; ways to minimize the burden of the collection of information on the respondents, including the use of automated collection techniques or other forms of information technology; and ways to further reduce the information collection burden on small business concerns with fewer than 25 employees.

The FCC may not conduct or sponsor a collection of information unless it displays a currently valid OMB control number. No person shall be subject to any penalty for failing to comply with

a collection of information subject to the PRA that does not display a valid OMB control number.

**DATES:** Written comments should be submitted on or before **[INSERT DATE 30 DAYS] FROM PUBLICATION IN FEDERAL REGISTER]**. If you anticipate that you will be submitting comments, but find it difficult to do so within the period of time allowed by this notice, you should advise the contacts below as soon as possible.

ADDRESSES: Direct all PRA comments to Nicholas A. Fraser, OMB, via fax 202-395-5167, or via email Nicholas\_A.\_Fraser@omb.eop.gov; and to Cathy Williams, FCC, via email <a href="mailto:PRA@fcc.gov">PRA@fcc.gov</a> and to Cathy.Williams@fcc.gov. Include in the comments the OMB control number as shown in the "Supplementary Information" section below.

FOR FURTHER INFORMATION CONTACT: For additional information or copies of the information collection, contact Cathy Williams at (202) 418-2918. To view a copy of this information collection request (ICR) submitted to OMB: (1) Go to the web page <a href="http://www.reginfo.gov/public/do/PRAMain">http://www.reginfo.gov/public/do/PRAMain</a>, (2) look for the section of the Web page called "Currently Under Review," (3) click on the downward-pointing arrow in the "Select Agency" box below the "Currently Under Review" heading, (4) select "Federal Communications

Commission" from the list of agencies presented in the "Select Agency" box, (5) click the "Submit" button to the right of the "Select Agency" box, (6) when the list of FCC ICRs currently under review appears, look for the OMB control number of this ICR and then click on the ICR Reference Number. A copy of the FCC submission to OMB will be displayed.

## **SUPPLEMENTARY INFORMATION:**

OMB Control Number: 3060-1089.

Title: Telecommunications Relay Services and Speech-to-Speech Services for Individuals with

Hearing and Speech Disabilities; E911 Requirements for IP-Enabled Service Providers; Internet-

Based Telecommunications Relay Service Numbering, CG Docket No. 03-123, WC Docket No.

05-196, and WC Docket No. 10-191; FCC 08-151, FCC 08-275, FCC 11-123.

Form Number: N/A.

**Type of Review**: Revision of a currently approved collection.

**Respondents**: Business or other for-profit entities; Not-for-profit institutions; Individuals or

households; State, local or tribal government.

Number of Respondents and Responses: 8 respondents; 2,495,002 responses.

**Estimated Time per Response**: 0.25 hours (15 minutes) to 1.5 hours.

Frequency of Response: On occasion and one-time reporting requirements; Recordkeeping and

third party disclosure requirements; Quarterly reporting requirement.

**Obligation to Respond**: Required to obtain or retain benefits. The statutory authority for the

collection is contained in Sections 1, 4(i), 4(j), 225, 251 (e), and 255 of the Communications Act

of 1934, as amended, 47 U.S.C. 151, 154(i), 154(j), 225, 251(e), and 255.

Total Annual Burden: 99,221 hours. Total Annual Cost: \$4,269,135.

**Nature and Extent of Confidentiality**: An assurance of confidentiality is not offered because this information collection does not require the collection of personally identifiable information from individuals.

**Privacy Impact Assessment**: No impact(s).

Needs and Uses: On August 4, 2011 the Commission released Report and Order FCC 11-123, published at 76 FR 59551, September 27, 2011, adopting final rules-containing information collection requirements-designed to improve assignment of telephone numbers associated with Internet-based Telecommunications Relay Service (iTRS). Specifically, the final rules, described below are designed to promote the use of geographically appropriate local numbers, while ensuring that the deaf and hard-of-hearing community has access to toll free telephone numbers that is equivalent to access enjoyed by the hearing community.

Below are the new and revised information collection requirements contained in the Report and Order:

## A. Provision of Routing Information

In addition to provisioning their registered users' routing information to the TRS Numbering Directory and maintaining such information in the database, the VRS and IP relay providers must ensure that the toll free number of a user that is associated with a geographically appropriate NANP number will be associated with the same Uniform Resource Identifier URI as that geographically appropriate NANP telephone number.

## B. User Notification

In addition to the information that the Commission previously instructed VRS and IP Relay

providers to include in the consumer advisories, VRS and IP Relay providers must also include

certain additional information in their consumer advisories under the Report and Order.

Specifically, the consumer advisories must explain: (1) The process by which a VRS or IP Relay

user may acquire a toll free number from a toll free service provider, or transfer control of a toll

free number from a VRS or IP Relay provider to the user; and (2) the process by which persons

holding a toll free number may have that number linked to their ten-digit telephone number in

the TRS Numbering Directory.

**OMB Control No.:** 3060-1121.

**Title**: Sections 1.30002, 1.30003, 1.30004, 73.875, 73.1657 and 73.1690, Disturbance of AM

Broadcast Station Antenna Patterns.

Form No.: Not applicable.

**Type of Review**: Revision of a currently approved collection.

**Respondents**: Business or other for-profit entities and Not-for-profit Institutions.

Number of Respondents and Responses: 1,195 respondents and 1,195 responses.

**Estimated Time per Response**: 1-2 hours.

Frequency of Response: On occasion reporting requirement and third party disclosure

requirement.

**Obligation to Respond**: Required to obtain or retain benefits. Statutory authority for this information collection is contained in Section 154(i) of the Communications Act of 1934, as amended.

**Total Annual Burden**: 1,960 hours.

**Total Annual Cost**: \$1,078,200.

**Privacy Act Impact Assessment**: No impact(s).

Nature and Extent of Confidentiality: There is no need for confidentiality with this collection of information.

Needs and Uses: On August 14, 2013, the Commission adopted the Third Report and Order and Second Order on Reconsideration in the matter of An Inquiry Into the Commission's Policies and Rules Regarding AM Radio Service Directional Antenna Performance Verification, MM Docket No. 93-177, FCC 13-115. In the Third Report and Order in this proceeding, the Commission harmonized and streamlined the Commission's rules regarding tower construction near AM stations.

In AM radio, the tower itself functions as the antenna. Consequently, a nearby tower may become an unintended part of the AM antenna system, reradiating the AM signal and distorting the authorized AM radiation pattern. Our old rules contained several sections concerning tower construction near AM antennas that were intended to protect AM stations from the effects of such tower construction, specifically, Sections 73.1692, 22.371, and 27.63. These old rule sections imposed differing requirements on the broadcast and wireless entities, although the issue is the same regardless of the types of antennas mounted on a tower. Other rule parts, such as Part 90 and Part 24, entirely lacked provisions for protecting AM stations from possible effects of nearby tower construction. In the Third Report and Order the Commission adopted a uniform set of rules applicable to all services, thus establishing a single protection scheme regarding tower construction near AM tower arrays. The Third Report and Order also designates "moment method" computer modeling as the principal means of determining whether a nearby tower affects an AM radiation pattern. This serves to replace time-consuming direct measurement procedures with a more efficient computer modeling methodology that is reflective of current industry practice.

New Information Collection Requirements:

47 CFR 1.30002(a) requires a proponent of construction or modification of a tower within a specified distance of a nondirectional AM station, and also exceeding a specified height, to notify the AM station at least 30 days in advance of the commencement of construction. If the tower construction or modification would distort the AM pattern, the proponent shall be responsible for the installation and maintenance of detuning equipment.

47 CFR 1.30002(b) requires a proponent of construction or modification of a tower within a specified distance of a directional AM station, and also exceeding a specified height, to notify the AM station at least 30 days in advance of the commencement of construction. If the tower construction or modification would distort the AM pattern, the proponent shall be responsible for the installation and maintenance of detuning equipment.

47 CFR 1.30002(c) states that proponents of tower construction or alteration near an AM station shall use moment method modeling, described in § 73.151(c), to determine the effect of the construction or alteration on an AM radiation pattern.

47 CFR 1.30002(f) states that, with respect to an AM station that was authorized pursuant to a directional proof of performance based on field strength measurements, the proponent of the tower construction or modification may, in lieu of the study described in § 1.30002 (c), demonstrate through measurements taken before and after construction that field strength values at the monitoring points do not exceed the licensed values. In the event that the pre-construction monitoring point values exceed the licensed values, the proponent may demonstrate that post-construction monitoring point values do not exceed the pre-construction values. Alternatively, the AM station may file for authority to increase the relevant monitoring point value after performing a partial proof of performance in accordance with § 73.154 to establish that the licensed radiation limit on the applicable radial is not exceeded.

47 CFR 1.30002(g) states that tower construction or modification that falls outside the criteria described in paragraphs § 1.30002(a) and (b) is presumed to have no significant effect on an AM station. In some instances, however, an AM station may be affected by tower construction notwithstanding the criteria set forth in paragraphs § 1.30002(a) and (b). In such cases, an AM station may submit a showing that its operation has been affected by tower construction or alteration. Such showing shall consist of either a moment method analysis or field strength measurements. The showing shall be provided to (i) the tower proponent if the showing relates to

a tower that has not yet been constructed or modified and otherwise to the current tower owner, and (ii) to the Commission, within two years after the date of completion of the tower construction or modification. If necessary, the Commission shall direct the tower proponent to install and maintain any detuning apparatus necessary to restore proper operation of the AM antenna.

47 CFR 1.30002(h) states that an AM station may submit a showing that its operation has been affected by tower construction or modification commenced or completed prior to or on the effective date of the rules adopted in this Part pursuant to MM Docket No. 93-177. Such a showing shall consist of either a moment method analysis or of field strength measurements. The showing shall be provided to the current owner and the Commission within one year of the effective date of the rules adopted in this Part. If necessary, the Commission shall direct the tower owner, if the tower owner holds a Commission authorization, to install and maintain any detuning apparatus necessary to restore proper operation of the AM antenna.

47 CFR 1.30002(i) states that a Commission applicant may not propose, and a Commission licensee or permittee may not locate, an antenna on any tower or support structure, whether constructed before or after the effective date of these rules, that is causing a disturbance to the radiation pattern of the AM station, as defined in paragraphs § 1.30002(a) and (b), unless the applicant, licensee, or tower owner completes the new study and notification process and takes appropriate ameliorative action to correct any disturbance, such as detuning the tower, either prior to construction or at any other time prior to the proposal or antenna location.

47 CFR 1.30003(a) states that when antennas are installed on a nondirectional AM tower the AM station shall determine operating power by the indirect method (see § 73.51). Upon the completion of the installation, antenna impedance measurements on the AM antenna shall be made. If the resistance of the AM antenna changes, an application on FCC Form 302-AM (including a tower sketch of the installation) shall be filed with the Commission for the AM station to return to direct power measurement. The Form 302-AM shall be filed before or simultaneously with any license application associated with the installation.

47 CFR 1.30003(b) requires that, before antennas are installed on a tower in a directional AM array, the proponent shall notify the AM station so that, if necessary, the AM station may determine operating power by the indirect method (see § 73.51) and request special temporary authority pursuant to § 73.1635 to operate with parameters at variance. For AM stations licensed via field strength measurements (see § 73.151(a)), a partial proof of performance (as defined by § 73.154) shall be conducted both before and after construction to establish that the AM array will not be and has not been adversely affected. For AM stations licensed via a moment method proof (see § 73.151(c)), the proof procedures set forth in § 73.151(c) shall be repeated. The results of either the partial proof of performance or the moment method proof shall be filed with the Commission on Form 302-AM before or simultaneously with any license application associated with the installation.

47 CFR 1.30004(a) requires proponents of proposed tower construction or modification to an existing tower near an AM station that are subject to the notification requirement in §§ 1.30002-

1.30003 to provide notice of the proposed tower construction or modification to the AM station at least 30 days prior to commencement of the planned tower construction or modification.

Notification to an AM station and any responses may be oral or written. If such notification and/or response is oral, the party providing such notification or response must supply written documentation of the communication and written documentation of the date of communication upon request of the other party to the communication or the Commission. Notification must include the relevant technical details of the proposed tower construction or modification, and, at a minimum, also include the following: Proponent's name and address; coordinates of the tower to be constructed or modified; physical description of the planned structure; and results of the analysis showing the predicted effect on the AM pattern, if performed.

47 CFR 1.30004(b) requires that a response to a notification indicating a potential disturbance of the AM radiation pattern must specify the technical details and must be provided to the proponent within 30 days.

47 CFR 1.30004(d) states that if an expedited notification period (less than 30 days) is requested by the proponent, the notification shall be identified as "expedited," and the requested response date shall be clearly indicated.

47 CFR 1.30004(e) states that in the event of an emergency situation, if the proponent erects a temporary new tower or makes a temporary significant modification to an existing tower without prior notice, the proponent must provide written notice to potentially affected AM stations within

five days of the construction or modification of the tower and cooperate with such AM stations

to remedy any pattern distortions that arise as a consequence of such construction.

47 CFR 73.875(c) requires an LPFM applicant to submit an exhibit demonstrating compliance

with § 1.30003 or § 1.30002, as applicable, with any modification of license application filed

solely pursuant to paragraphs (c)(1) and (c)(2) of this section, where the installation is on or near

an AM tower, as defined in § 1.30002.

47 CFR 73.1675(c)(1) states that where an FM, TV, or Class A TV licensee or permittee

proposes to mount an auxiliary facility on an AM tower, it must also demonstrate compliance

with § 1.30003 in the license application.

47 CFR 73.1690(c) requires FM, TV, or Class A TV station applicants to submit an exhibit

demonstrating compliance with § 1.30003 or § 1.30002, as applicable, with a modification of

license application, except for applications solely filed pursuant to paragraphs (c)(6) or (c)(9) of

this section, where the installation is located on or near an AM tower, as defined in § 1.30002.

**OMB Control No.**: 3060-0798.

Title: FCC Application for Radio Service Authorization: Wireless Telecommunications Bureau

Public Safety and Homeland Security Bureau.

Form No.: FCC Form 601.

**Type of Review:** Revision of a currently approved collection.

**Respondents**: Individuals or households; Business or other for-profit; Not-for-profit institutions;

and State, Local or Tribal government.

Number of Respondents and Responses: 253,120 respondents; 253,120 responses.

**Estimated Time per Response**: 1.25 hours.

Frequency of Response: On occasion reporting requirement, third party disclosure requirement,

Recordkeeping & Other-10 year.

**Obligation to Respond**: Required to obtain or retain benefits. Statutory authority for this

information collection is contained in 47 U.S.C. 151, 152, 154(i), 155(c), 157, 201, 202, 208,

214, 301, 302a, 303, 307, 308, 309, 310, 311, 314, 316, 319, 324, 331, 332, 333, 336, 534, and

535.

Total Annual Burden: 221,780 hours.

**Total Annual Cost**: \$55,410,000.

Privacy Act Impact Assessment: Yes.

Nature and Extent of Confidentiality: In general there is no need for confidentiality. On a case

by case basis, the Commission may be required to withhold from disclosure certain information

about the location, character, or ownership of a historic property, including traditional religious

sites.

Needs and Uses: FCC Form 601 is a consolidated, multi-part application form, or "long form," that is used for general market-based licensing and site-by-site licensing for wireless telecommunications and public safety services filed through the Commission's Universal Licensing System (ULS). FCC Form 601 is composed of a main form that contains the administrative information and a series of schedules used for filing technical and other information. Respondents are encouraged to submit FCC Form 601 electronically and are required to do so when submitting FCC Form 601 to apply for an authorization for which the applicant was the winning bidder in a spectrum auction.

The data collected on FCC Form 601 include the FCC Registration Number (FRN), which serves as a "common link" for all filings an entity has with the FCC. The Debt Collection Improvement Act of 1996 requires that those entities filing with the Commission to use a FRN.

FCC Form 601 is being used for auctionable services as they are implemented; FCC Form 601 is used to apply for a new authorization, or to amend a pending application for an authorization to operate a license wireless radio services. This includes Public Mobile Services, Personal Communications Services, General Wireless Communications Services, Private Land Mobile Radio Services, Broadcast Auxiliary Services, Fixed Microwave Services, Instructional Television Fixed Service (ITFS) and the Multipoint Distribution Service (MDS), Maritime Services (excluding ships), and Aviation Services (excluding aircraft). It may also be used to modify or renew an existing license, cancel a license, withdraw a pending application, obtain a duplicate license, submit required notifications, request an extension of time to satisfy construction requirements, or request an administrative update to an existing license (such as

mailing address change), request a Special Temporary Authority (STA) or a Developmental License.

The form 601 is being revised to add a National Security Certification that is applicable to applicants for licenses issued as a result of the Middle Class Tax Relief and Job Creation Act of 2012 (2012 Spectrum Act). Section 6004 of the 2012 Spectrum Act, 47 U.S.C 1404, prohibits a person who has been, for reasons of national security, barred by any agency of the Federal Government from bidding on a contract, participating in an auction, or receiving a grant from participating in any auction that is required or authorized to be conducted pursuant to the 2012 Spectrum Act.

On June 27, 2013, the Commission released a Report and Order (R&O), FCC 13-88, WT Docket No. 12-357, in which it established service rules and competitive bidding procedures for the 1915-1920 MHz and 1995-2000 MHz bands. See Service Rules for the Advanced Wireless Services H Block-Implementing Section 6401 of the Middle Class Tax Relief and Job Creation Act of 2012 Related to the 1915-1920 MHz and 1995-2000 MHz Bands, Report and Order, FCC 13-88, 28 FCC Rcd 9483 (2013). The R&O also implemented Section 6004 by requiring that a party seeking to participate in any auction conducted pursuant to the 2012 Spectrum Act certify in its application, under penalty of perjury, the applicant and all of the related individuals and entities required to be disclosed on its application are not person(s) who have been, for reasons of national security, barred by any agency of the Federal Government from bidding on a contract, participating in an auction, or receiving a grant and thus statutorily prohibited from participating in such a Commission auction or being issued a license. The Commission therefore

seeks approval for a revision to its currently approved information collection on FCC Form 601

to include this additional certification. The revised collection will enable the Commission to

determine whether an applicant's request for a license pursuant to the 2012 Spectrum Act is

consistent with Section 6004.

Additionally, the form 601 is being revised to update the Alien Ownership certifications pursuant

to the Second Report and Order FCC 13-50, IB Docket 11-133 Review of Foreign Ownership

Policies for Common Carrier and Aeronautical Radio Licensees under Section 310(b)(4) of the

Communications Act of 1934, as Amended.

The addition of the National Security Certification and the revision to the Alien Ownership

certification result in no change in burden for the revised collection. The Commission estimates

that the additional certification will not measurably increase the estimated average amount of

time for respondents to complete FCC Form 601 across the range of applicants or for

Commission staff to review the applications

OMB Control Number: 3060-0686.

Title: International Section 214 Process and Tariff Requirements, 47 CFR 63.10, 63.11, 63.13,

63.18, 63.19, 63.21, 63.24, 63.25 and 1.1311.

Form No.: FCC Form 214.

**Type of Review**: Revision of a currently approved collection.

**Respondents**: Business or other for-profit.

**Number of Respondents**: 1,670 respondents; 10,264 responses.

**Estimated Time per Response**: 0.50-16 hours (average).

Frequency of Response: On occasion reporting requirement, recordkeeping requirement and

third party disclosure requirement.

**Obligation To Respond**: Required to obtain or retain benefits. The statutory authority for this

collection is contained in Sections 1, 4(i), 4(j)11, 201-205, 211, 214, 219, 220, 303(r), 309, 310

and 403 of the Communications Act of 1934, as amended, 47 U.S.C. 151, 154(i), 154(j), 161, 21,

201-205, 214, 219, 220, 303(r), 309, and sections 34-39.

Total Annual Burden: 34,376 hours.

**Total Annual Cost**: \$3,625,390.

**Privacy Act Impact Assessment**: No impact(s).

Nature and Extent of Confidentiality: There is no need for confidentiality with this collection

of information.

**Needs and Uses:** The collection of information is used by the Commission staff in carrying out

its duties under the Communications Act. The information collections pertaining to Part 1 of the

rules are necessary to determine whether the Commission should grant a license for proposed

submarine cables landing in the United States. Pursuant to Executive Order No. 10530, the

Commission has been delegated the President's authority under the Cable Landing License Act to

grant cable landing licenses, provided that the Commission obtains the approval from the State Department and seeks advice from other government agencies as appropriate. The information collections pertaining to Part 63 are necessary largely to determine the qualifications of applicants to provide common carrier international telecommunications service, including

applicants that are affiliated with foreign carriers, and to determine whether and under what

conditions the authorizations are in the public interest, convenience, and necessity.

If the collections are not conducted or are conducted less frequently, applicants will not obtain

the authorizations necessary to provide telecommunications services, and the Commission will

be unable to carry out its mandate under the Communications Act of 1934 and the Cable Landing

License Act. In addition, without the information collections, the United States would jeopardize

its ability to fulfill the U.S. obligations as negotiated under the World Trade Organization

(WTO) Basic Telecom Agreement because these collections are imperative to detecting and

deterring anticompetitive conduct. They are also necessary to preserve the Executive Branch

agencies' and the Commission's ability to review foreign investments for national security, law

enforcement, foreign policy, and trade concerns.

Federal Communications Commission.

Gloria J. Miles,

Federal Register Liaison,

Office of the Secretary,

Office of Managing Director.

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